# POLICY FOR PROMOTION OF RESEARCH







CENTRE FOR

INTERNAL QUALITY ASSURANCE

U.P. RAJARSHI TANDON OPEN UNIVERSITY
PRAYAGRAJ - 211021



## U.P. Rajarshi Tandon Open University Prayagraj

## Rajarshi Purushottam Das Tandon

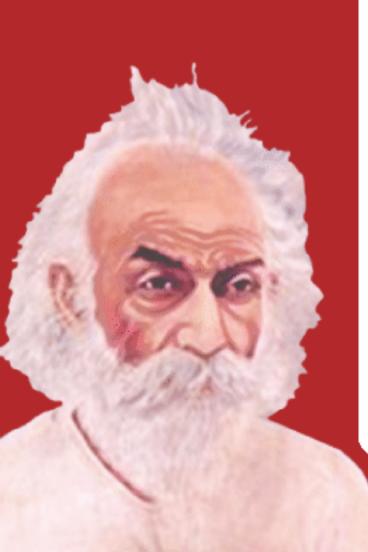
Purushottum Das Tendon was born on August 1, 1882 at Prayagraj. After obtaining a degree in Law and M.A. In History, he started practicing in 1906 and joined the bar of Allahabad in 1908. He gave up practice in 1921 to concentrate on public activities.

On April 15, 1948, the title of "Rajarshi" (Etymology; Raj + Rishi; Royal Saint) was bestowed on him by Devrahava Baba on the bank of river Saryu in presence of numerous spiritual luminaries and religious dignitaries. By nature, Tandon Ji was very straightforward, calm, polite and modest. 'Simple living and high thinking' has always been the motto of his life.

Rajarshi Tandon worked as the Speaker of the Legislative Assembbly of the present day Uttar Pradesh for a period of 13 years, from July 31, 1937 to August 10, 1950. He was elected to the Constituent Assembly of India in 1946, to the Lok Sabha in 1952 and the Rajya Sabha in 1956. He is remembered for his efforts in achieving the Official language of India Status for Hindi language.

In 1961, The President of India Dr. Rajendra Prasad conferred on Rajarshi Tandon the title of "Bharat Ratna", India's highly civilian award.

Rajarshi Tandon passed away on July 1 in 1962.



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UTTAR PRADESH RAJARSHI TANDON OPEN UNIVERSITY PRYAGRAJ - 211021

## **Prof. Seema Singh**

**Vice Chancellor** 





**Vice Chancellor** 

## **Foreword**

Uttar Pradesh Rajarshi Tandon Open University (UPRTOU), Prayagraj, has laid the emphasis on research and sustainable development, where research makes it possible to create knowledge, innovation, and newer insights that make extension activities and teaching more vibrant and scientific. UPRTOU, Prayagraj established in 1999 by the government of Uttar Pradesh, has been constantly focusing on research in diverse disciplines and it also offers various programmes like Diploma, UG, PG, and research programmes (Ph.D) with due approval of the University Grant Commission, New Delhi, and the Government of Uttar Pradesh.

In current scenario of innovative Research and Developments, University has taken several efforts for promoting research talents among the teachers, researchers and others. To give ample opportunities for benefits like incentives for faculties receiving research fellowships and the allocation of specific corpus incentive funds for faculties for publishing research papers, books and book chapters in National and International reputed publishing houses. In addition, University also promotes research activities through financial assistance for attending National and International Conferences, Faculty Development Programmes (FDP) and others MOOCs related courses for fostering novelty of "knowledge ecosystem".

University also support by providing up to fifty percent of travel expenses for National and International collaborative research oriented exchange programmes with reputed Universities and agencies to the faculties. Faculties also encouraged time to time by the University through different types of awards/fellowships like annual best teacher awards, international fellowship and state/national level awards including medals, certificates, cash prices etc. The benefit of travel expenses and leaves for seminar/conferences/symposia to the faculties and academic consultants is also provided by the University.

Besides, the quality is the more concern of the research, so that different committees have been framed by the University. In this context, a comprehensive policy is framed for the enrichment of reliable research in the University and for the socially relevant research outcomes. On my part, I will strive to the best of my abilities to place the UPRTOU amongst the leading providers of Higher Education in the country, particularly in education through distance learning.

Lets open our mind for innovative ideas with learn, unlearn and relearn.

**Prof. Seema Singh**Vice Chancellor
UPRTOU, Prayagra

## **Policy for Promotion of Research**

## **Objective:**

Education is a dynamic process so research and extension activities are integral to teaching in Indian Universities. Hence it requires constant updating which is possible only with a vibrant research aptitude. Research makes it possible to create knowledge, innovation and newer insights that make extension activities and teaching more vibrant and scientific:

In order to promote research activities among faculty members of the University, the guidelines for research promotion are categorized as:-

- 1 Provision of Research Advisory Committee
- 2 Incentives by the University for Research projects, publications and research related activities.
- 3 Financial assistance for attending National and International Conferences and Faculty Development programmes.
- 4 Financial assistance for pursuing Ph.D.

### 1. Provision of Research Advisory Committee

There shall be Research Advisory Committee (RAC) to suggest the measures for quality improvement in the field of research. The constitution of the RAC shall be as under:

(i) Vice-Chancellor -Chairperson

(ii) Directors/In-charges, school of studies

-Member

(iii) Two experts outside the University having expertise in the areas:

-Member

(Education/Humanities/Social Sciences/Agriculture Science/

Health Science/ Commerce/ Management/ Computer Science/Science)

(iv) Director, CIQA

- Member/Member Secretary

## 2. Incentives by the University for Research projects, publications and research related activities

- I) The regular faculty (Principal Investigator) submitting a research project for funding and getting a sanction by funding agency (government / other) shall be awarded a monetary rewards of 5% (five percent) of the total funding.
- ii) The regular faculty submitting a research project through proper channel to the UPRTOU for funding may get a maximum of Rs. 1,00,000.
- iii) Faculty (Regular/Academic Consultant) members publishing Books/Chapters in the reputed

International publishing house in edited volumes will be awarded a suitable cash prize Rs. 10,000.

- iv) Faculty (Regular/Academic Consultant) members publishing Books/Chapters in the reputed National publishing house in edited volumes will be awarded a suitable cash prize Rs. 5,000.
- v) Publication of scientific articles in SCOPUS/ PUBMED/ UGC indexed journals, shall be awarded incentive as per the following:
  - a. paper with impact factor less than 1; Rs. 5000 (Five thousand)
  - b. papers with impact factor between 1 and 2; 10000 (Ten thousand)
  - c. papers with impact factor between 2 and 5; 15000 (Fifteen thousand)
  - d. papers with impact factor between 5 and 10: 20000 (Twenty thousand)
  - e. papers with impact factor above 10 by 25 points. 25000 (Twenty Five thousand)
  - f. Scopus Indexed journal Rs. 10,000 (Ten thousand)
  - g. Web of science indexed journal Rs. 10,000 (Ten thousand)
  - h. UGC approved list journal indexed in Scopus/ Web of science Rs. 10,000 (Ten thousand)

In case the publication is in joint names/authorship the incentive shall be appropriately distributed to internal authors of the paper as per their contribution in the article. The contribution shall be decided by the corresponding author.

- vi) Regular faculty members receiving award/fellowship shall be awarded accordingly:
  - (a) International award/fellowship: Rs. 25, 000 (Ten thousand).
  - (b) State/National level award/fellowship: Rs. 10,000 (Five thousand).
- vii) Annual Best Teacher award for regular faculty shall be awarded according to parameters judged by committee constituted by competent authority. The award shall include a certificate, medal and be awarded with a cash prize of Rs. 5000/-.
- viii) The researcher is permitted to use the infrastructural facilities like research equipments available within the University, with prior approval through proper channel. A letter of appreciation from concern Director would be given to researcher for extraordinary research work.
- ix) All assistant professors if do a FDP program from NPTEL and examination fee will be refunded after producing certificate of successful completion.
- x) The Plagiarism check done by CIQA for scientific papers of students/faculty from University shall not be charged.

## 3. Financial assistance for attending National and International Conferences and Faculty Development Programmes

### (I) OBJECTIVE

To encourage the faculty for attending the National/International conferences/seminar/symposia/workshops and short duration training programmes.

## (II) GUIDELINES FOR FINANCIAL ASSISTANCE TO TEACHERS TO ATTEND THE CONFERENCES/SEMINARS/SYMPOSIAETC. IN INDIA OR ABROAD

#### I. 1 For Regular Faculty

- A. Teachers going under any international collaboration exchange programme with CSIR, DST, ICSSR, ICAR, AICTE, NCTE, RCI, MCI etc and other agencies of similar reputation may be provided financial assistance up to 50% of the travel expenses or Rs. 1,00,000/-\* whichever is less in addition to maximum 10 days academic leaves. However, the works and detailed plan of such visits should be submitted to the Director of the concerned school of the University.
- B. Financial assistance to teachers for attending the conferences/seminars/symposia etc. at national level will be available once in an academic year. In such cases, the Financial assistance will be limited to travel expenditure (actual train fare-AC-2 Tier or equivalent air fare) and registration fee to a maximum of Rs. 30,000/-\*.
- C. Financial assistance to teachers for attending the conferences/seminars/symposia etc. abroad/ internationally will be available once in two academic years. In such cases, the financial assistance will be limited to travel expenditure and registration fee to a maximum of Rs. 50,000/-\*.
- D. Normally, one teacher per department/unit will be allowed to attend the same conference in India or abroad.
- E. The acceptance of papers from organizers should have been received.

Note-\*: In case, if expenses are not met by the organizing agency.

#### II. 2 For Academic Consultant

- a. An Academic Consultant shall be given 10 (ten) days academic leave (including travel days) to attend the seminar/conferences/symposia during one academic session. The Financial assistance will be limited to travel expenditure (actual train fare-AC-III Tier or equivalent) and registration fee to a maximum of Rs. 10,000/-, in case, if expenses are not met by the organizing agency.
- (III) PROCEDURE OF APPLYING FOR FINANCIAL ASSISTANCE FOR ATTENDING SEMINAR/CONFERENCES/SYMPOSIA ETC ABROAD AND INDIA

- a. The prescribed application form for conference/symposia/seminar etc. in India and abroad is to be used.
- b. Application duly forwarded by the Director of concerned school with their specific recommendation should reach the Office of the Director, Centre for Internal Quality Assurance preferably 30 days before the date of the programme (even if, the acceptance letter is not received which should be submitted as soon as it is received) along with the following document:
  - I. A soft copy of the full text of documents/papers prepared by the teacher for presentation at the National/ International conference/ seminars/ symposia/ congress/ workshops. The details of training programme, even if of short duration should be provided.
  - II. Brief details of the organizers, title of the programme, place and duration of the conference etc. in which the paper is proposed to be presented or participation is desired.
  - III. A copy of the letter of invitation from the organizers of the conference/seminar/ symposium accepting the paper for presentation, immediately after it is received or a copy of the letter from the organizer inviting the teacher to chair a session/section and mentioning details of the financial support offered etc. should also be enclosed.
  - IV. In case of conference / seminars / symposia / congress / workshops / training programme of short duration, the Invitation or other relevant documents should be attached.

#### (IV) FOLLOW-UPACTION FOR ABROAD AND INDIA

- a. The teachers not utilizing their sanctioned amount for whatever reason should immediately inform the Director of concerned school within a week to enable others to utilize the amount so released.
- b. Deputed teachers after attending conferences should provide a participation certificate and submit the bills within one month of return from the conference.

### (V) DEPUTATION WITHOUT FINANCIAL SUPPORTABROAD AND INDIA

- a) Teacher seeking permission to attend conferences/seminars/symposia/ workshop/training programme in India or abroad without financial support from the University but (academic) leave only, should also follow the same procedure as mentioned in procedure of applying for financial assistance for attending conferences/seminars/symposia etc abroad and India.
- b) Such teachers should fulfill all the requirements listed above, but the frequency restriction (once in 2 years only for abroad and once in an academic year for India) shall not apply to them.
- c) Such teachers shall be granted leave as per University rules.

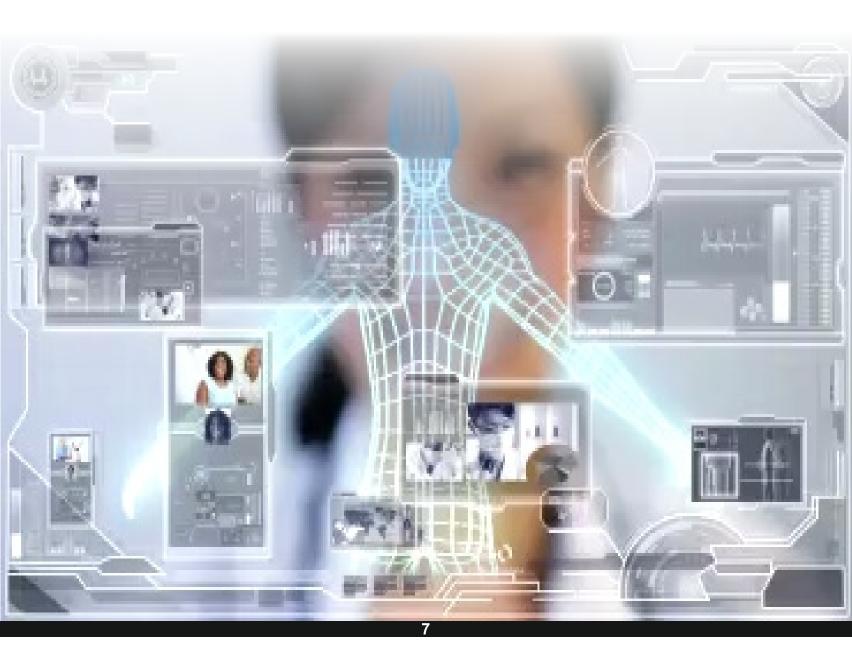
## 4. Financial assistance for pursuing Ph.D (Only for regular faculty)

The Objective of these guidelines is to encourage the faculty member to improve their

qualifications by pursuing Ph.D. programme available in the University. Any teacher of the University can enroll for the Ph.D. programme as per the procedure laid down by the University.

- (i.) A teacher who is admitted to the Ph.D. course shall be provided fee concession to the tune of 50% of the fee charged for the programme.
- (ii.) A teacher shall be provided Rs. 10,000/- per annum as contingency fund for stationery, travel to research institutes, purchase of book etc. However, the books purchased will be deposited with the School library after completion of the Ph.D.

The teacher will have to take an undertaking that he/she shall continue to be in the employment of the University for two years after completing Ph.D. course.



## **UPRTOU**



सद्ज्ञान तीर्थ पावन नगरी यही सुहाती। सबके लिए सुशिक्षा संकल्प शुभ सुनाती ।।

> शिक्षा जगत पुरोधा आदर्श राष्ट्र-नायक। हिन्दी प्रबल समर्थक सद्भावना विधायक।। यह मुक्त पीठ अनुपम राजर्षि जिसकी थाती। सबके लिए सुशिक्षा संकल्प शुभ सुनाती।।

संगम मनीषियों की चिन्तन धरा मनोहर। भगवान राम अपने दीक्षित हुए यहीं पर।। विज्ञान, धर्म, दर्शन के मंत्र को जगाती। सबके लिए सुशिक्षा संकल्प शुभ सुनाती।।

> गंगा, सरस्वती माँ, यमुना की वाग्धारा। स्वाध्याय, स्वावलम्बन, मंथन पुनीत नारा।। मंगलमयी उषा से सबका हृदय खिलाती। सबके लिए सुशिक्षा संकल्प शुभ सुनाती।।

उत्तर प्रदेश व्यापी अध्ययन केन्द्र न्यारे। दूरस्थ ज्ञान प)ति आधार हैं, हमारे।। विद्या प्रसार माध्यम संचार तंत्र पाती। सबके लिए सुशिक्षा संकल्प शुभ सुनाती।।

सत्कर्म, मुक्त चिंतन, आदर्श पथ चलें हम । ज्ञानी बनें, गुणी हों, मंजिल पहुँच के लें दम।। जीवन जगत समुज्ज्वल का सूत्र नित सिखाती। सबके लिए सुशिक्षा संकल्प शुभ सुनाती।।

## **UPRTOU**

## **Draft Committee**

1. Prof. Omji Gupta : coordinator

Director, School of Management Studies
Director, Centre for Internal Quality Assurance
UPRTOU

2. Prof. P. P. Dubey : Member

Director, School of Agricultural Sciences UPRTOU

3. Prof. Ashutosh Gupta : Member

Director, School of Science

Dy. Director, Centre for Internal Quality Assurance

UPRTOU

4. Prof. G.S. Shukla : Member

Director, School of Health Sciences
UPRTOU

5. Prof P.K. Pandy : Member

Incharge, School of Education UPRTOU

6. Prof. Sudhanshu Tripathi : Member

School of Social Sciences
UPRTOU

7. Prof. Vinod Kumer Gupta : Member

School of Humanities

UPRTOU

8. Dr. Shruti : Member

School of Science UPRTOU

9. Dr. A K Gupta : Member

Registrar UPRTOU

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